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PTO/SB/05 (4/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.	F12.12-0045
First Inventor or Application Identifier	Gary A. Lenz et al.
Title	IDENTIFICATION CARD PERSONALIZATION DEVICE WITH WEB BROWSER
Express Mail Label No.	EL636051646US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.	<input type="checkbox"/>	*Fee Transmittal Form e.g., PTO/SB17) (Submit an original and a duplicate for fee processing)
2.	<input checked="" type="checkbox"/>	Specification [Total Sheets <b>34</b> ] (preferred arrangement set forth below) - Descriptive title of the Invention) - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
	<input checked="" type="checkbox"/>	Drawing(s) (35-U.S.C. § 113) [Total Sheets <b>3</b> ]
		Oath or Declaration [Total Sheets <b>3</b> ]
a.	<input checked="" type="checkbox"/>	Unexecuted (original or copy)
b.	<input type="checkbox"/>	Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)
i.	<input type="checkbox"/>	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5.	<input type="checkbox"/>	Microfiche Computer Program (Appendix)
6.	<input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (If applicable; all necessary)
a.	<input type="checkbox"/>	Computer Readable Copy
b.	<input type="checkbox"/>	Paper Copy (Identical to computer copy)
c.	<input type="checkbox"/>	Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7.	<input type="checkbox"/>	Assignment Papers (cover sheet & document(s))
8.	<input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
9.	<input type="checkbox"/>	English Translation Document
10.	<input type="checkbox"/>	Information Disclosure Statement (IDS/PTO - PTO) <input type="checkbox"/> Copies of IDS
11.	<input type="checkbox"/>	Preliminary Amendment
12.	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503)
13.	<input checked="" type="checkbox"/>	*Small Entity <input type="checkbox"/> Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14.	<input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)
15.	<input type="checkbox"/>	Request and Cert. Under 35 USC 122 (Non-Pub)
16.	<input checked="" type="checkbox"/>	Other: File Data Sheet

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in part (CIP)	of prior application No: _____
Prior application information:		Examiner _____	Group/Art Unit: _____

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE

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Signature		Date	12/15/00

12/15/00

JC960 U.S. PTO  
09/739080  
12/15/00

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PATENT AGENT

**Express Mailing No. : EL636051646US**  
**Date of Deposit: December 15, 2000**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: New U.S. Patent Application of:  
Applicant: Gary A. Lenz et al.  
For : IDENTIFICATION CARD PERSONALIZATION DEVICE  
WITH WEB BROWSER  
Our File : F12.12-0045

Dear Sir:

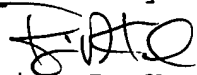
Enclosed for filing are the following papers in connection  
with the above-identified patent application:

1. Complete specification and claims.  
23 pages Specification  
10 pages claims  
1 page Abstract
2. Unexecuted Combined Declaration and Power of Attorney  
(3 pages).
3. 3 sheets of drawings.

The filing fee is not enclosed with this communication.  
Pursuant to 35 USC § 111 and 37 CFR §§ 1.53(b) and 1.53(f), the  
filing fee, executed Declaration and executed Verified Statement  
Claiming Small Entity Status (if applicable) will be filed  
separately.

A filing date under 37 CFR §§ 1.10(b) and 1.53(b) of December  
15, 2000 is respectfully requested. The enclosed materials are  
being sent "Express Mail Post Office to Addressee" as of the date  
of this letter.

Yours very truly,

  
Brian D. Kaul  
Reg. No. 41,885

BDK:tas  
Enc.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Gary A. Lenz

Appln. No.: 09/739,080

Filed : December 15, 2000

For : IDENTIFICATION CARD  
PERSONALIZATION DEVICE WITH  
WEB BROWSER

Docket No.: F12.12-0045

Group Art Unit: 2161

Examiner:

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

13<sup>TH</sup> DAY OF April, 2001.

[Signature]  
PATENT ATTORNEY

Sir:

Jeffrey D. Upin, U.S. Citizen, is the owner of the entire  
right, title and interest in the patent application identified  
above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent  
application identified above. The assignment was  
recorded in the Patent and Trademark Office at Reel \_\_,  
Frame \_\_, or a copy of which is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent  
application identified above, to the current assignee  
as shown below:


1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and  
Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or a copy of which is  
attached.

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The document was recorded in the Patent and

Trademark Office at Reel \_\_\_\_\_,  
Frame \_\_\_\_\_, or a copy of which is  
attached.

The undersigned (whose title is supplied below) is empowered  
to act on behalf of the assignee.

Signature:  Dated: 4/12/01

Name : Jeffrey D. Upin

TITLE: General Counsel and Vice President